

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER <b>PAT-00374</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b>		U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15) <b>10/537070</b>
<b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>		PRIORITY DATE CLAIMED <b>09. December 2002</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/012310</b>	INTERNATIONAL FILING DATE <b>05. November 2003</b>	
TITLE OF INVENTION: <b>AQUEOUS CHROMOPHORE AND/OR EFFECT-PRODUCING COATING MATERIAL, AND USE OF THE SAME</b>		
APPLICATION(S) FOR DO/EO/US: <b>SAPPER, GOETZ-EKKEHARD [GB]; HUMMERT, TOBIAS [DE]</b>		

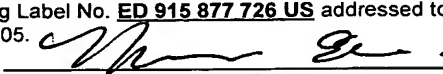
**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

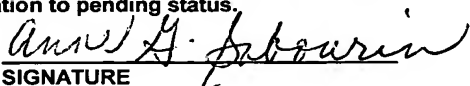
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include Items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ are transmitted herewith (required only if not transmittal by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ Is attached hereto.
  - b. ☐ Has been previously submitted under 35 U.S.C 154(d)(4).
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371(c)(5)).

**Items 11. to 20. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76..
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document**

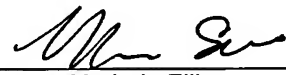
I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. **ED 915 877 726 US** addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 31, 2005.

  
Marjorie Ellis

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.50) <b>10/537 070</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/012310</b>		ATTORNEY'S DOCKET NUMBER <b>PAT-00374</b>	
<input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS   PTO USE ONLY</b>	
21. <input checked="" type="checkbox"/> Basic National Stage Fee (1631/2631)..... \$300.00				\$	
22. <input checked="" type="checkbox"/> Examination Fee (1633/2633) All other situations..... \$200.00				\$	
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.445(a)(2) has been paid on the international application to the USPTO as an All other situations..... \$500.00				\$	
<b>TOTAL OF 21, 22, AND 23 =</b>				<b>\$1,000.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250.00</b> for each additional 50 sheets of paper or fraction thereof.				\$	
<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof (round up to a whole number)</b>	<b>Rate</b>	\$	
- 100=	/50=		x\$250		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
<b>Claims</b>	<b>Number Filed</b>	<b>Number Extra</b>	<b>Rate</b>	\$	
Total Claims	13- 20 =		X \$ 50.00		
Independent claims	01 - 03 =		X \$ 200.00		
Multiple dependent claims(s) (if applicable)			+ \$360.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,000.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,000.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,000.00</b>	
				<b>Amount to be refunded:</b>	\$
				<b>Charged</b>	<b>\$1,000.00</b>
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <b>23-3425</b> in the amount of <b>\$1,000.00</b> to cover the above fees A triplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>23-3425</b> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card Information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b> <b>SEND ALL CORRESPONDENCE TO:</b> <b>BASF CORPORATION</b> <b>Patent Department</b> <b>26701 Telegraph Road</b> <b>Southfield, Michigan 48034-2442</b> <b>(248) 948-2355</b> <b>Customer No. 26922</b>					
			 <b>SIGNATURE</b> <b>Anne Gerry Sabourin</b> <b>Name</b> <b>33,772</b> <b>REGISTRATION NUMBER</b>		

PATENT

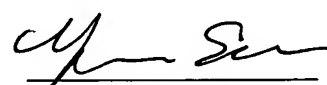
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  SAPPER, Goetz-Ekkehard HUMMERT, Tobias	Practitioner's Docket No. PAT-00374
Serial No.: This application is a National Phase application of PCT/EP2003/012310 filed 05. November 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: AQUEOUS CHROMOPHORE AND/OR EFFECT-PRODUCING COATING MATERIAL, AND USE OF THE SAME	<p><b><u>EXPRESS MAIL CERTIFICATE</u></b></p> <p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ER 915 877 726 US</b> addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.</p> <p>May 31, 2005</p> <p>_____ Date</p> <p> Marjorie Ellis</p>

BOX PCT  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of  <b>SAPPER, Goetz-Ekkehard</b> <b>HUMMERT, Tobias</b>	<b>Practitioner's Docket No. PAT-00374</b>
Serial No.: This application is a National Phase application of PCT/EP2003/012310 filed 05. November 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
<b>For: AQUEOUS CHROMOPHORE AND/OR EFFECT-PRODUCING COATING MATERIAL, AND USE OF THE SAME</b>	<div style="border: 1px solid black; padding: 5px;"> <p><b><u>EXPRESS MAIL CERTIFICATE</u></b></p> <p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ER 915 877 726 US</b> addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.</p> <p style="text-align: center;">May 31, 2005</p> <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="border-top: 1px solid black; width: 200px; text-align: center;">Date</div> <div style="text-align: center;">               Marjorie Ellis           </div> </div> </div>

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**Commissioner for Patents**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

☒ **English Application**

Specification on	43	pages;	claims on	04	pages;
the abstract on	01	page(s);	drawings on		page(s).